



Unannounced Observational Audit Checklist

| Water Use | |
|-----------|--|
| UA_01 | From visual inspection, there is no evidence that the water sources and distribution systems may pose a contamination risk (damage, inadequately maintained, evidence of animal activity, connection with effluent systems)? |
| UA_01A | No other observations of improper use of water? |

| Soil Amendments | |
|-----------------|---|
| UA_01B | No evidence of undocumented use of soil amendments? |
| UA_01C | No evidence of improperly applied soil amendments? |
| UA_01D | No evidence of improperly stored soil amendments? |
| UA_01E | No other observations of improper use of soil amendments? |

| Environmental Factors | |
|-----------------------|--|
| UA_02 | No evidence of fecal contamination in the field? |
| UA_02A | No evidence of animal hazards in the field? |
| UA_02B | No evidence of non-compliance with distances as outlined in the Environmental Assessment? |
| UA_02C | No evidence that remedial actions such as animal barriers (fences, gates, grates, etc) are not in good repair and operational? |
| UA_02D | No other observations of environmental risk factors? |

| Work Practices | |
|----------------|--|
| UA_03 | No employees eating, drinking (except water), chewing tobacco or smoking in crop production actively harvested areas? |
| UA_03A | All employees observed to have washed their hands after; restroom usage, work breaks, or any returning to work occasion? |
| UA_03B | No evidence that sanitary facilities are not routinely clean and operational? |
| UA_03C | No evidence that worker hygiene rules have been violated during the crop cycle? |
| UA_03D | No evidence that Sanitary facilities are not adequately stocked with disposable supplies? |
| UA_03E | No improperly stored personal items observed in the field? |
| UA_03F | No evidence or observations that employees are not using the restrooms? |
| UA_03G | No employees with uncovered wounds, boils or cuts? |
| UA_03H | No employees with symptoms of infection or contagious disease? |
| UA_03I | No other observations of improper work practices? |



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| Field Sanitation | |
|-----------------------------|---|
| UA_04 | No evidence of excessive non-vegetative debris in the field? |
| UA_04A | No evidence of open and/or unsupervised chemicals in the field? |
| UA_04B | No evidence of leaks and spills on equipment in the field? |
| UA_04C | No evidence of the use of non-sanitized farm equipment that may have come in contact with raw manure, untreated compost, waters of unknown quality, wildlife or domestic animals? |
| UA_04D | No evidence of other cross-contamination potential of product and/or product contact surfaces? |
| UA_04E | No other evidence of improper field sanitation? |
| General Requirements | |
| UA_05 | Is a specific individual assigned the food safety responsibility for harvesting? |
| UA_05A | Is a documented daily food safety harvest assessment available for review? |
| UA_05B | Is the assessment dated? |
| UA_05C | Is the individual who conducted the assessment identified? |
| UA_05D | Are the specific growing blocks associated with the assessment clearly identified? |
| UA_05E | Is the Harvester name and contact information documented? |
| UA_05F | Did the assessment indicate that the production area was free from evidence of animal intrusion? |
| UA_05G | Was the animal hazard or potential risk of intrusion assessed by Food Safety Professional or Food Safety Personnel? |
| UA_05H | Was the animal hazard or potential risk of intrusion assessed as a "Low Hazard"? |
| UA_05I | If "YES" were corrective actions carried out according to company SOP? |
| UA_05J | Was the animal hazard or potential risk of intrusion assessed as a "Medium/High Hazard"? |
| UA_05K | If "YES" were corrective actions carried out per the LGMA requirements? |
| UA_05L | If "YES" is documentation available to show that actions were implemented? |
| UA_05M | All chemical storage containers are labeled appropriately |
| UA_05N | Use of containers only as intended? |